



**6820-GA-P**

## **RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD**

### **Agency Information Collection Activities: Renewal of Currently Approved**

#### **Collection; Comment Request**

**AGENCY:** Recovery Accountability and Transparency Board

**ACTION:** Notice and request for comments.

**SUMMARY:** The Recovery Accountability and Transparency Board (Board) invites comments on the proposed renewal of a currently approved information collection as required by the Paperwork Reduction Act of 1995 (PRA).

**DATES:** Interested persons are invited to submit comments on or before [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

**ADDRESS:** Send comments to Atticus Reaser, Office of General Counsel, Recovery Accountability and Transparency Board, 1717 Pennsylvania Avenue, NW, Suite 700, Washington, DC 20006. Alternatively, you can email comments to [comments@ratb.gov](mailto:comments@ratb.gov). Please be sure to identify the title of the collection in the subject line.

**FOR FURTHER INFORMATION CONTACT:** Atticus Reaser, General Counsel, 202-254-7900.

**SUPPLEMENTARY INFORMATION:** The PRA and its implementing regulations, 5 CFR Part 1320, require federal agencies to provide 60 days notice to the public for comment on information collection activities - including renewals of currently approved information collections - before seeking approval of such activities by the Office of Management and Budget (OMB). Accordingly, the Board invites interested respondents

to comment on the following summary of proposed information collection activities regarding (i) whether the information collection activities are necessary for the Board to properly execute its functions; (ii) the accuracy of the Board's estimates of the burden of the information collection activities; (iii) ways for the Board to enhance the quality, utility, and clarity of the information being collected; and (iv) ways for the Board to minimize the burden of information collection activities on the public.

The Board is planning to submit the following currently approved information collection to OMB for review and approval of renewal under the PRA:

Title of Collection: Section 1512 Data Elements – Federal Financial Assistance

ICR Reference No.: 201004-0430-001

OMB Control No.: 0430-0004

ICR Status: The approval for this ICR is scheduled to expire on 07/31/2013.

Description: Section 1512 of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5, 123 Stat. 115 (2009) (Recovery Act), requires recipients of Recovery Act funds to report on the use of those funds. These reports are submitted to FederalReporting.gov, and certain information from these reports is then posted publically. This collection pertains only to recipients of federal financial assistance.

More specifically, prime recipients, sub-recipients, and vendors who receive federal financial assistance Recovery Act funds are required to submit section 1512 data elements as set forth in the *Recipient Reporting Data Dictionary* (available electronically at <https://www.federalreporting.gov/federalreporting/downloads.do>). The following is a cumulative summary of the reporting guidance issued by the Office of Management and Budget (OMB) in its June 22, 2009, guidance entitled, “Implementing Guidance for the

Reports on Use of Funds Pursuant to the American Recovery and Reinvestment Act of 2009” (M-09-21), and its December 18, 2009, guidance entitled, “Updated Guidance on the American Recovery and Reinvestment Act – Data Quality, Non-Reporting Recipients, and Reporting of Job Estimates” (M-10-08):

*Prime Recipients:* The prime recipient is ultimately responsible for the reporting of all data required by section 1512 of the Recovery Act and the OMB Guidance, including the Federal Funding Accountability and Transparency Act (FFATA) data elements for the sub-recipients of the prime recipient required under section 1512(c)(4). In addition, the prime recipient must report three additional data elements associated with any vendors receiving funds from the prime recipient for any payments greater than \$25,000.

Specifically, the prime recipient must report the identity of the vendor by reporting the DUNS number, the amount of the payment, and a description of what was obtained in exchange for the payment. If the vendor does not have a DUNS number, then the name and zip code of the vendor’s headquarters will be used for identification.

*Sub-Recipients of the Prime Recipient:* The sub-recipients of the prime recipient may be required by the prime recipient to report the FFATA data elements required under section 1512(c)(4) for payments from the prime recipient to the sub-recipient. The reporting sub-recipients must also report one data element associated with any vendors receiving funds from that sub-recipient. Specifically, the sub-recipient must report, for any payments greater than \$25,000, the identity of the vendor by reporting the DUNS number, if available, or otherwise the name and zip code of the vendor’s headquarters.

*Required Data:* The specific data elements to be reported by prime recipients and sub-recipients are included in the *Recipient Reporting Data Dictionary*. Below are the basic

reporting requirements to be reported on prime recipients, recipient vendors, sub-recipients, and sub-recipient vendors. Where noted, the information is not entered by the recipient but rather is derived from another source:

*Prime Recipient*

1. Funding Agency Code
2. Awarding Agency Code
3. Program Source (TAS)
4. Award Number
5. Order Number
6. Recipient DUNS Number
7. Parent DUNS (derived from CCR)
8. Recipient Type (derived from CCR)
9. CFDA Number
10. Government Contracting Office Code
11. Recipient Congressional District
12. Recipient Account Number
13. Final Report (not FFATA)
14. Award Type
15. Award Date
16. Award Description
17. Project Name or Project/Program Title
18. Quarterly Activities/Project
19. Project Status

20. Activity Code (NAICS or NTEE–NPC)
21. Number of Jobs
22. Descriptions of Jobs Created/Retained
23. Amount of Award
24. Total Federal Amount ARRA Funds Received/Invoiced
25. Total Federal Amount of ARRA Expenditure
26. Total Federal ARRA Infrastructure Expenditure
27. Infrastructure Purpose and Rationale
28. Infrastructure Contact Information
29. Recipient Primary Place of Performance
30. Recipient Indication of Reporting Applicability
31. Recipient Officer Names and Compensation (if applicable)
32. Total Number of Sub-Awards to Individuals
33. Total Amount of Sub-Awards to Individuals
34. Total Number of Payments to Vendors Less Than \$25,000/Award
35. Total Amount of Payments to Vendors Less Than \$25,000/Award
36. Total Number of Sub-Awards Less Than \$25,000/Award
37. Total Amount of Sub-Awards Less Than \$25,000/Award

*Sub-Recipient*

1. Sub-Recipient DUNS
2. Sub-Award Number
3. Sub-Recipient Name and Address (derived from CCR)
4. Sub-Recipient Congressional District

5. Amount of Sub-Award
6. Total Sub-Award Funds Disbursed
7. Sub-Award Date
8. Sub-Recipient Place of Performance
9. Sub-Recipient Indication of Reporting Applicability
10. Sub-Recipient Officer Names and Compensation (if applicable)

*Vendor*

1. Award Number—Prime Recipient Vendor
2. Sub-Award Number—Sub-Recipient Vendor
3. Vendor DUNS Number
4. Vendor HQ Zip Code + 4
5. Vendor Name
6. Product and Service Description
7. Payment Amount

*Affected Public:* Recipients, as defined in section 1512(b)(1) of the Recovery Act, of Recovery Act funds (specifically, Federal financial assistance).

*Total Estimated Number of Respondents:* 24,356.

*Frequency of Responses:* Quarterly.

*Total Estimated Annual Burden Hours:* 160,263.

Dated: March 26, 2013.

**Atticus J. Reaser**

General Counsel, Recovery Accountability and Transparency Board

